

IMPACT OF CAROTID ENDARTERECTOMY ON COGNITIVE PERFORMANCE AND DEPRESSIVE SYMPTOMS

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Abstract

OBJECTIVES: This study aims to evaluate the impact of carotid endarterectomy (CEA) on cognitive performance in patients with severe carotid disease and depressive symptoms, and to explore the possible associations between certain demographics, clinical characteristics, and cognitive function and depression.

MATERIALS AND METHODS: The study included 48 patients, who were referred for endarterectomy. Cognitive function was assessed using the Montreal Cognitive Assessment (MoCA) scale, while depressive symptoms were assessed using the patient health questionnaire (PHQ-9) scale. An assessment of cognitive and depressive symptoms was performed 1–3 days before surgery, and then six months after.

RESULTS: A paired sample t-test found that the difference in the mean MoCA score between the before ($\bar{x}=23.37$; $SD \pm 3.27$) and the after ($\bar{x}=24.69$; $SD \pm 3.68$) surgery results was 1.32 (95% CI = 0.48 – 2.16; $p=0.003$; Cohen's d value = 0.95). A paired sample t-test showed that a decrease in mean PHQ-9 score of > 10 for patients six months after CEA (7.5 ± 4.6) was statistically significant ($p=0.019$; Cohen's d value = 1.32) compared with the PHQ-9 scores at baseline (12.6 ± 2.8).

CONCLUSION: Carotid artery endarterectomy seems to have beneficial effects on the course of cognitive impairment and depressive symptoms in patients with severe carotid artery stenosis. Demographic, clinical characteristics (age, gender, comorbidities, previous stroke) did not have impact on course of cognitive and depressive symptoms. A limitation in our study was that the number of patients was relatively small, therefore we intend to perform further study with larger case volume to estimate the impact of carotid artery endarterectomy on cognitive functions and depressive symptoms.

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Introduction

Traditionally, vascular cognitive impairment was understood entirely as a condition resulting from a symptomatic ischemic or hemorrhagic event, i.e. stroke. However, it is now well recognized that progressive decline in cognitive functioning may result from cerebrovascular disease, even in the absence of a clinically detectable precipitating event (Jokinen et al., 2009). In 2006, the National Institute of Neurological Disorders and the Stroke-Canadian Stroke Network recommended the Montreal Cognitive Assessment (MoCA) test, or some of its subtests, as an optimal brief protocol for the assessment of vascular cognitive impairment (Hachinski et al., 2006, Popovic, Lovrencic-Huzjan, & Demarin, 2009; Popovic et al., 2011). The MoCA compares equally or favorably to the mini-mental state examination (MMSE) in terms of sensitivity to cognitive impairment and sensitivity to change, over time (Koski, 2013).

Depression is one of the most prevalent and treatable mental disorders presenting in general medical as well as specialty settings (Kroenke & Spitzer, 2002). Few epidemiologic studies have examined the association between depressive symptoms and atherosclerosis in subjects aged ≥ 65 years (Faramawi

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et al., 2007). In particular, vascular disease has been postulated to increase the risk of later depression (Alexopoulos et al., 1997). The patient health questionnaire (PHQ-9) depression scale is half the length of many other depression measures, has comparable sensitivity, and involves the same nine criteria on which the diagnosis of DSM-IV depressive disorders is based (Kroenke, Spitzer, & Williams, 2001). The PHQ-9 is a dual-purpose instrument that can establish a provisional depressive disorder diagnosis as well as grade the severity of depressive symptoms (Kroenke & Spitzer, 2002).

The objective of our study is to evaluate the impact of carotid endarterectomy (CEA) on the cognitive performance of elderly patients with severe symptomatic and asymptomatic carotid disease and clinically important depressive symptoms. This includes exploring the possible associations between certain demographics, clinical characteristics of patients with carotid artery disease, and cognitive function and depression.

Materials and methods

The prospective single-center study included 48 patients with severe carotid artery stenosis who were referred for endarterectomy to the Paul's Stradins Clinical University hospital. Severe carotid artery stenosis $\geq 70\%$ was defined according to the North American Symptomatic Carotid Artery Endarterectomy Trial (NASCET) criteria (Barnett et al., 1998). Carotid artery stenosis was estimated using computer tomography angiography. Patients were considered symptomatic if a minor stroke (modified Rankin Scale 0 – III at the time of inclusion) or TIA (transient ischemic attack) had occurred within the previous six months.

Patients under 18 years of age, with progressive cerebral disease (tumor or multiple sclerosis) and major stroke (modified Rankin Scale IV-V), patients with recent (< 6 months) antidepressive therapy, and patients not available for long term follow-up were excluded. The study protocol was approved by the corresponding local ethic committee of Riga Stradins University. Written informed consent was obtained from all patients prior to their study inclusion.

Data of patients' gender, age, comorbidities (other atherosclerotic disease, arterial hypertension, chronic heart failure, diabetes mellitus, and atrial fibrillation), lifestyle characteristics (smoking and body mass index) were recorded on a standardized form. Patients were defined as smokers if they were either current or former smokers. Body mass index (BMI) was calculated as weight (kg) divided by the square of height in meters (m^2).

Neurological examination was performed using the National Institutes of Health Stroke Scale (NIHSS) on all patients before surgery, and then 24 hours and six months after surgery. Functional outcome was assessed using the Barthel Index, which measures the activity of daily living (Aminoff, 2009).

An assessment of cognitive and depressive symptoms was performed by a trained neurologist at 1–3 days before and six months after surgery. Cognitive function was assessed using the MoCA, Latvian and Russian version. The MoCA is 10-minute cognitive screening tool used to detect mild cognitive impairment. The MoCA scores range from 0 to 30 and are divided into seven subscores: visuospatial executive (0–5 points), naming (0–3 points), attention (0–6 points), language (0–3 points), abstraction (0–2 points), memory (0–5 points), and orientation (0–6 points). An additional point is given to each patient who has an educational experience of 12 years or less. A final total score of 26 and above is considered normal (Nasreddine et al., 2005; Nasreddine, 2015).

Depressive symptoms were assessed using the PHQ-9 depression scale, Latvian and Russian version (Vrublevska et al., 2015). A PHQ-9 score can range from 0 to 27, with each of the nine items scoring between 0 ("not at all") and 3 ("nearly every day"). Cut-off points 5, 10, 15, and 20 represent the threshold for mild, moderate, moderately severe, and severe depression. It is recommended to use a PHQ-9 score of 10 or greater as a screening cut-off point, based on sensitivity for major depression of 88%, which corresponds to a specificity of 88% (Kroenke & Spitzer, 2002).

Statistical analysis

Patient numbers and percentages were given as discrete data. Continuous data were presented using means and standard deviations. The changes of the PHQ-9 and MoCA scores were evaluated with a paired sample t test. The Chi-Square test was used to compare groups of categorical data. All tests

were considered statistically significant at $p < 0.05$. The Cohen's d value was used to estimate the standardized difference between two means. Calculations were performed using the statistical package for social sciences (SPSS) for Windows, version 22.0.

Results

Characteristics of patients

Our study involved 48 patients with severe carotid artery stenosis ($\geq 70\%$) and who had been transferred to Paul's Stradins Clinical University Hospital for endarterectomy. The demographic, lifestyle, and clinical characteristics of the patients are listed in Table 1.

Table 1: Characteristics of patients with severe carotid artery stenosis (total number of patients: 48; mean age: 68 ± 8 years; age range: 44 to 81 years)

Characteristic	Patient number (% of total)
Sex	1.
Male	33 (68.75%)
Female	15 (31.25%)
Life style characteristics:	2.
Smoking	29 (60.42%)
Body mass index:	
<30 kg/m ²	35 (72.90%)
>30 kg/m ²	13 (27.10%)
Comorbidity:	
Ischemic heart disease	18 (37.50%)
Chronic heart failure	11 (22.92%)
Arterial hypertension	42 (87.50%)
Peripheral artery disease	12 (25.00%)
Diabetes mellitus	3 (06.25%)
Atrial fibrillation	3 (06.25%)
Carotid disease:	3.
Symptomatic	25 (52.08%)
Transient ischemic attack	10 (20.83%)
Minor stroke	15 (31.25%)
Asymptomatic	23 (47.92%)
Neurological symptoms:	
NIHSS 0	31 (64.60%)
NIHSS 1–5	16 (33.30%)
NIHSS 6–10	1 (02.10%)
Barthel index	
20	37 (77.10%)
19	1 (02.10%)
18	7 (14.60%)
17	1 (02.10%)
16	2 (04.20%)

NIHSS: National Institutes of Health Stroke Scale

Source: Authors

The patients included 33 (68.75%) males and 15 (31.25%) females. The mean age was 68±8 years (age range 44–81 years); median age 70 years; the first quartile of age was 63 years; and the third quartile of age was 74 years. Asymptomatic carotid artery disease was observed in 23 (47.92%) patients, while symptomatic carotid artery disease occurred in 25, with transient ischemic attack relating to 10 (20.83%) and minor stroke to 15 (31.25%).

Most of the patients were free of severe neurological symptoms (16 or 33.30%) and only one patient had a NIHSS rating below 10, with a result of nine. More than half of the patients had a Barthel Index of 20, and only two patients had a Barthel Index of 16.

More than a third of patients, i.e. 18 (37.50%), had ischemic heart disease. Peripheral artery disease occurred in 12 (25.00%) patients and diabetes mellitus and atrial fibrillation in three (6.25%). As many as 60.42% were smokers at one time, and 27.10% were obese. The total MoCA and PHQ-9 scores are given in Table 2.

Total MoCA scores*	Before surgery n (% of n)	After surgery n (% of n)	4.	PHQ-9 scores	Before surgery n (% of n)	After surgery n (% of n)
≥ 26	16 (33.3)	24 (50.0)		0 – 4	22 (45.8)	21 (43.8)
20 – 25	25 (52.1)	20 (41.7)		5 – 9	15 (31.2)	22 (45.8)
≤ 19	7 (14.6)	4 (8.3)		>10	11 (23.0)	5 (10.4)

5.

MoCA: Montreal Cognitive Assessment
 PHQ-9: Patient health questionnaire
 n: number of patients
 * score is adjusted for education

Source: Authors

Cognitive performance before and at six months after carotid artery endarterectomy

The paired sample t-test found that the difference in mean MoCA scores between before (\bar{x} =23.37; SD ± 3.27) and after (\bar{x} =24.69; SD ± 3.68) surgery was 1.32 (95% CI = 0.48 – 2.16). This difference was statistically significant (p = 0.003; Cohen’s d value = 0.95). A more detailed MoCA subscore analysis (Table 3) showed a statistically significant improvement in MoCA memory subscores (p = 0.003; Cohen’s d value=0.42).

With regard to the risk factors (chronic ischemic heart disease, peripheral artery disease, arterial hypertension, chronic heart failure, atrial fibrillation, or smoking), MoCA subscores were similar for before and after surgery, except in patients with diabetes mellitus. Patients with diabetes mellitus had statistically significant (p = 0.03) mean total MoCA scores after the endarterectomy, compared to baseline. Symptomatic or asymptomatic severe carotid artery stenosis had no statistically significant (p =0.57) effect on MoCA scores, either before or after CEA.

Depressive symptoms before and at six months after carotid artery endarterectomy

According to the recommended threshold (PHQ-9 score >10) for screening depressive symptoms, 11 (23%) patients, with high-grade internal carotid artery stenosis before surgery, had moderate depressive symptoms, while 15 (31.2%) patients had mild depressive symptoms (Table 2).

The mean PHQ-9 score in the range 5–9 at 6 months after CEA did not decrease statistically significantly from the PHQ-9 scores at baseline (6.64 ±1.6 vs 6.85 ±4.1; p =0.8). Whereas the paired sample t-test showed a mean PHQ-9 score >10 for patients at six months after surgery, CEA (7.5±4.6) had decreased significantly (p = 0.019; Cohen’s d value = 1.32) compared with the PHQ-9 scores at baseline (12.6 ± 2.8).

By using repeated measurement analysis of variance, we found gender, age, comorbidities, and symptomatic and asymptomatic carotid artery stenosis had no statistical significance ($p < 0.05$) on the course of the PHQ-9 score from baseline to follow-up stage.

Table 3: MoCA scores at baseline and 6 months after operation

MoCA	Subscores before surgery	Subscores after surgery	p-value
	Mean (SD)	Mean (SD)	
Visuospatial executive	3.63 (1.13)	3.77 (1.19)	0.490
Naming	2.95 (0.48)	2.91 (0.48)	0.160
Attention	5.07 (1.20)	5.09 (1.23)	0.900
Language	1.77 (0.81)	1.71 (0.80)	0.720
Abstraction	1.42 (0.70)	1.56 (0.70)	0.260
Memory	2.18 (2.01)	3.00 (1.84)	0.003*
Orientation	5.81 (0.66)	6.07 (0.46)	0.040

6.

MoCA: Montreal Cognitive Assessment
 SD: standard deviation
 * significant result

Source: Authors

Discussion

The presence of carotid artery stenosis has been recognized as a risk factor for stroke and cognitive decline in patients older than 65 years of age (Hachinski, 2007). The CEA is an effective means of preventing future stroke (Halliday et al., 2004), but its contribution to delaying cognitive decline or the onset and prognosis of dementia remains uncertain (Baracchini et al., 2012). Many studies have focused on the neurocognitive course of patients undergoing CAE with controversial results, but few have been performed on the elderly, which is the population at greater risk of cognitive decline and dementia (Baracchini et al., 2012).

In our study, although MoCA scores were adjusted to educational level, the mean total MoCA scores before surgery were mainly in the range of 20 - 25 for mild cognitive impairment (Nasreddine et al., 2005). Our MoCA scores at baseline were lower than in a similar study (Baracchini et al., 2012). A total MoCA score less than 20 before surgery was found in seven (14.6%) patients, compared to four (8.3%) patients after surgery. There was no difference in mean total MoCA scores in patients with either symptomatic or asymptomatic carotid artery stenosis, and so the patients were not subdivided for further analysis. A comparison of mean total MoCA scores showed a statistically significant improvement in cognitive function after CEA, especially in memory subscores. Although different neuropsychological testing protocols were used, a similar pattern of cognitive impairment in patients with severe carotid artery stenosis was found in previous studies (Popovic et al., 2009; Everts et al., 2014; Kim et al., 2015; Baracchini et al., 2012; Kougias et al., 2015).

Depression is commonly observed, not just in patients with ischemic heart disease (Stewart et al., 2003), but also in patients with carotid artery stenosis. Depressive symptoms are common among elderly patients and may lead to severe lifestyle limitations (Mlekusch et al., 2006). Depressive disorders may be causatively associated with degenerative processes based on cerebrovascular disease, a concept that is referred to as “vascular depression”. The vascular depression hypothesis suggests that cerebrovascular disease may predispose patients to depressive symptoms (Alexopoulos et al., 1997; Mlekusch et al., 2006). Currently, limited data exists regarding the course of depressive symptoms after successful carotid endarterectomy (Crawley et al., 2000) or after carotid artery stent placement (Mlekusch et al., 2006). Studies have revealed a 12–15% prevalence of depressive symptoms in patients older than 50 years (Stek et al., 2004). In our study, a total PHQ-9 score >10 points involved 11 (23%) patients, none being aware of their emotional status nor ever receiving anti-

depressive therapy in a primary care setting. The mean PHQ-9 score of patients with high grade internal carotid artery stenosis did not change at six months after CEA (5.97 ± 4.40 vs 5.62 ± 3.90). However, the decrease in frequency of PHQ-9 score >10 at six months after CEA was statistically significant compared to the PHQ-9 score at baseline. Although our results differed from a previous study that compared depressive symptoms before and after either CEA or carotid percutaneous transluminal angioplasty (PTA; Crawley et al., 2000), there are several case reports where major depression improved after CEA (Gressier et al., 2011). Similar findings where depressive symptoms improved in patients with severe carotid artery stenosis after PTA are also available, though based on different questionnaires (Mlekusch et al., 2006; Huang et al., 2012).

Our study had limitations in that the number of patients was relatively small and there was no age and sex-matched control group to compare MoCA and PHQ-9 scores. We aim to further this study by assessing the effect of endovascular treatment on cognitive function and depressive symptoms in patients with severe carotid artery stenosis.

Conclusion

Carotid artery endarterectomy seems to have beneficial effects on the course of cognitive impairment and depressive symptoms in patients with severe carotid artery stenosis. Demographic, clinical characteristics (age, gender, comorbidities, previous stroke) did not have impact on course of cognitive and depressive symptoms. A limitation in our study was that the number of patients was relatively small, therefore we intend to perform further study with larger case volume to estimate the impact of carotid artery endarterectomy on cognitive functions and depressive symptoms.

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